Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

011738.00137 Attorney Docket No. First Inventor CYCLE MODE PROVIDING REDUNDANT BACK-UP TO ENSURE TERMINATION OF TREATMENT THERAPY IN A MEDICAL DEVICE

						SYST	EM:				
(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))				Expre	press Mail Label No. EV306			06394595US			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.					A	ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231					<u></u>
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.  Specification [Total Pages 74]  perferred arrangement set forth below) Descriptive title of the Invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table,						<ul> <li>7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a.  Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on: <ul> <li>i.  CD-ROM or CD-R (2 copies); or</li> <li>ii.  paper</li> <li>c.  Statements verifying identity of above copies</li> </ul> </li> </ul>					
or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s)						9. 🔲 0. 🔲					
4. 🛛	Abstract of the D  Prawing(s) (35 t		[Total Sheets	s <u>33</u>	1	1. 🔲 2. 🗀					
a. ⊠ Formal; or b. ☐ Informal 5. Oath or Declaration [ <i>Total Pages</i> ]						<ul> <li>13. ☐ Preliminary Amendment</li> <li>14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> </ul>					
<ul> <li>a. Newly executed (original or copy); or</li> <li>b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)</li> <li>i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> <li>6. Application Data Sheet. See 37 CFR 1.76</li> </ul>					16	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:					
or in an App ☐ Conti Prior app For CONTIN under Box 5	olication Data Sh inuation   olication informati IUATION or DIVI	neet under 37 Ci Divisional on: Exan SIONAL APPS of a part of the di	FR 1.76: Continuing Co	nuation-in-pa e disclosure e accompa	art (CIP)	) prior ap	of p <i>Gro</i> opplication, f	rior ap up / Ai from v	plication No t Unit: which an oa	th or declaration is supplied	l
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☐ Customer Number or Bar Code Label  (Insert Customer No. or Atta						oar code	label here)	0	r 🛛 Co.	rrespondence address below	
Name	Binal J. Patel										
		Banner& Witcoff, Ltd.									
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City	Suite 3000									T	_
City				Illin			Zip	Code	60606		
Country	U.S.A.	<del></del>	Teleph	one	312-463-5000 Fax 312-463-5001				312-463-5001		
Name (Print/Type) Binal J. Patel			Regi	Registration No. (Attorney/Agent) 42,065			42,065				
Signature				12					Date	October 15, 2003	

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PTO/SB/17 (10-03)

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FEE TO A NORMITTAL	Complete if Known						
FEE TRANSMITTAL	Application Number						
for FY 2004	Filing Date	October 15, 2003					
Emailia 40040000 Palastania Alianta	First Named Inventor	Osorio					
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name						
Applicant claims small entity status. See 37 CFR 1.27	Art Unit						
TOTAL AMOUNT OF PAYMENT (\$) 824	Attorney Docket No.	011738.00137					

METHOD OF PAYMENT (check all that ap	FEE CALCULATION (continued)						
	3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ Money ☐ Other ☑ Order	Large Entity   Small Entity						
Deposit Account:							
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account 19-0733	19-0733			2051	65	Surcharge - late filing fee or oath	
Number	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit	1053	130	1053	130	Non-English specification		
Account Banner & Witcoff, LTD.			2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
☐ Charge fee(s) indicated below ☐ Credit any overpa ☐ Charge any additional fee(s) during the pendency of	this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below, except for the filing to the above-identified deposit account.	fee	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description		1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	770	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filling fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
100 100 2000 00 1 Tovisional limiting fee		1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1)	(\$) 770	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND RE	ICCUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Fee from		1502	480	2502	240	Design issue fee	
Claims below	Paid	1503	640	2503	320	Plant issue fee	
Total Claims 23 -20 ** = 3 X 18	1460	130	1460	130	Petitions to the Commissioner		
Independent Claims 2 -3 ** = 0 X	= 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Multiple	] = [0]	1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent 0 X  Large Entity   Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee		1809	770	2809	385	Filing a submission after final rejection	<u> </u>
Code (\$) Code (\$) Fee Description	20					(37 ČFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 1201 86 2201 43 Independent claims		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent		1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 ** Reissue indepen	dent claims over	1802	900	1802		Request for expedited examination	
1205 18 2205 9 Reissue claims ir over original patent	of a design application						
Over original patent	Other fe	e (specif	y)				
SUBTOTAL (2) (\$) 54							
**or number previously paid, if greater; For Reissues, see abor	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0						

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Binal J. Patel	Registration No. (Attorney/Agent)	42,065	Telephone	312-463-5000			
Signature			-	Date	10-15-03			

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF EXPRESS MAIL (PATENT)

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Deposited: October 15, 2003

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§1.10 on the date indicated above and is addressed to Mail Stop Patent Application,

Commissioner for Patents, P.o. Box 1450, Alexandria, VA 22313-1450.

Title:

CYCLE MODE PROVIDING REDUNDANT BACK-UP TO ENSURE TERMINATION OF

TREATMENT THERAPY IN A MEDICAL DEVICE SYSTEM

Inventor:

Osorio et al.

Serial No.:

Filing Date: October 15, 2003

- Fee Transmittal Sheet (1 page) in duplicate
- Utility Patent Transmittal (1 page) in duplicate
- ADS (5 pages)
- Specification (74 pages) 23 claims/2 independent and abstract
- Formal Drawings Figs. 1-33 (33 pages)
- Return Receipt Post Card

Attorney Docket No.: 011738.00137